



HIV Vaccines and BnAb Research

Past, present and future

HPRU Scientific Symposium

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Outline

- **From CAPRISA with Love...**
- **HIV Vaccines past to present**
- **Broadly neutralizing antibody studies**
- **CAPRISA's BnAb programme**
- **Future Vaccine and BnAb concepts**
- **Conclusions**

CAPRISA's Leadership and Facilities



Headquarters

Clinical research facilities



DDMRI



eThekweni



Vulindlela



Springfield



Umlazi

www.caprisa.org

CAPRISA's goal & affiliations

Goal: To undertake *globally relevant & locally responsive* research that contributes to understanding HIV pathogenesis, prevention & epidemiology as well as TB-HIV treatment



CAPRISA hosts a DSI-NRF
Centre of Excellence in
HIV Prevention



CAPRISA hosts a MRC HIV-TB Pathogenesis
and Treatment Research Unit
CAPRISA hosts a DoH-MRC Special Initiative
for HIV Prevention Technology



CAPRISA is the UNAIDS Collaborating Centre for
HIV Research and Policy

From CAPRISA with Love...

Joint projects and opportunities

- **Improving HIV and STI care with point of care testing**
 - Andy Gibbs and Beth Spooner
- **Making an impact on TB and MDR-TB**
 - Marion Loveday and Nesri Padayatchi
- **ENSEMBLE COVID-19 Trial**
 - 5 trial sites
- **SISONKE Phase 3b JnJ vaccine implementation study**
 - National and Regional leadership



Advancing STI Care in SA

STI

Advancing STI care in low/middle-income countries: has STI syndromic management reached its use-by date?

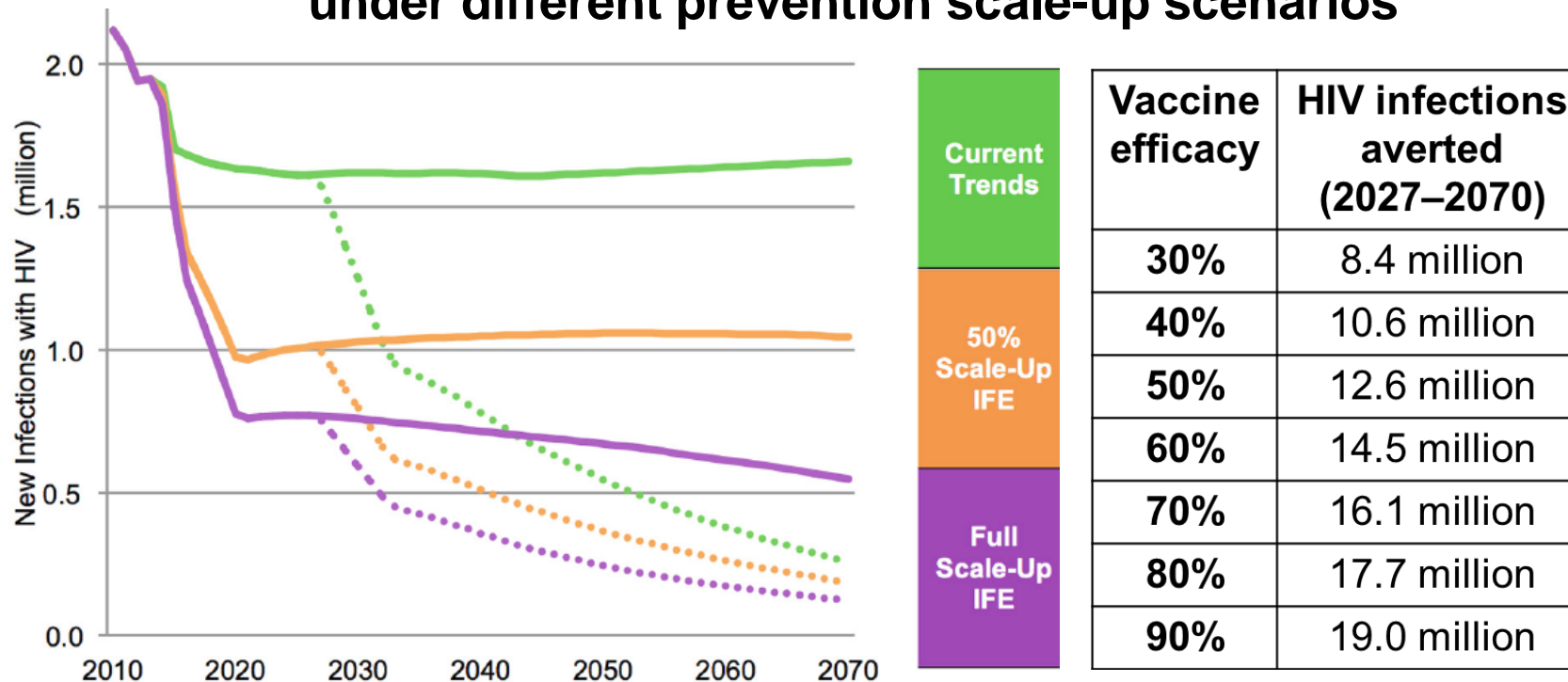
Nigel J Garrett, Nuala McGrath and Adrian Mindel



Potential impact of an HIV vaccine

1.7 million people became newly infected with HIV in 2018

Reduction of new HIV infections with & without a vaccine under different prevention scale-up scenarios



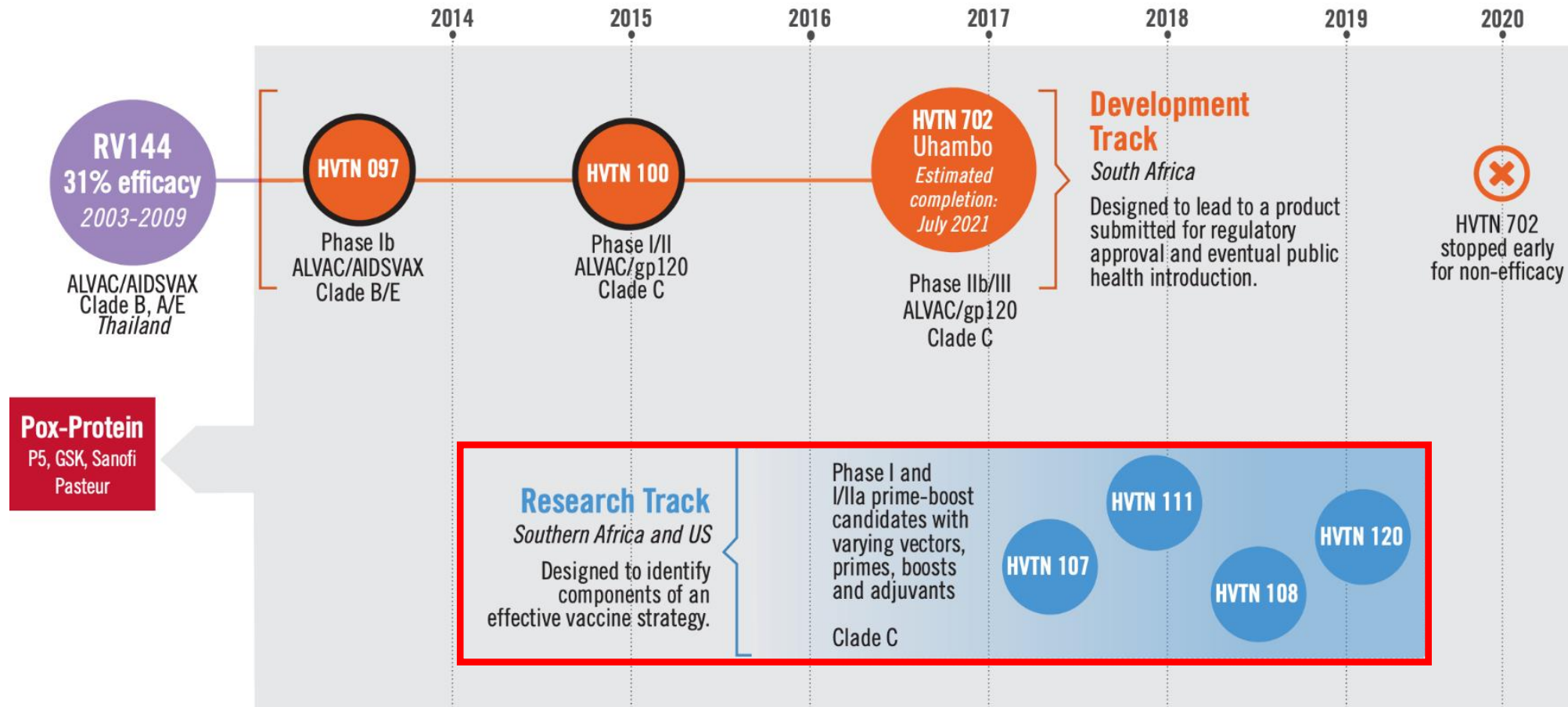
- **Assumptions:** Vaccine introduction in 2027, 50% coverage, 70% efficacy
- **IFE** = UNAIDS' Investment Framework Enhanced includes scale-up of PrEP, TasP, and other prevention methods

History of HIV Vaccine Research

- 1983: HIV discovered
- 1984: U.S. government announced AIDS vaccine programme.
- 1987: First HIV vaccine clinical trial at the NIH
- 1998: VaxGen initiated Phase 3 trial of AIDSVAX (VAX004) in North America/ Netherlands with 5,400 volunteers followed by AIDSVAX (VAX003) involving 2,500 volunteers in Thailand.
- 2000: NIH forms HVTN
- 2003: The U.S. and Royal Thai governments initiate RV144, a Phase 3 'prime-boost' trial (ALVAC-AIDSVAX B/E)
- 2007: Step and Phambili trials (human Ad5 vector expressing 3 HIV proteins) halted due to safety concerns and later on due to lack of efficacy.
- 2009: RV144 reveals modest preventive effect in humans.
- 2010: The Pox-Protein Public-Private Partnership (P5) formed to build on RV144.

F Laher, L-G Bekker, N Garrett, EM Lazarus & GE Gray. Review of preventative HIV vaccine clinical trials in South Africa. Archives of Virology volume 165, pages2439–2452(2020)

Pox-Protein Public Private Partnership (P5) Studies



Designed to investigate different:

- prime-boost regimens (DNA or ALVAC prime +/- protein co-administration)
- protein doses
- adjuvants (MF59, AS01_B and alum) or no adjuvant
- delivery methods (needle & syringe versus Biojector®)

HVTN 702: The Journey of Hope

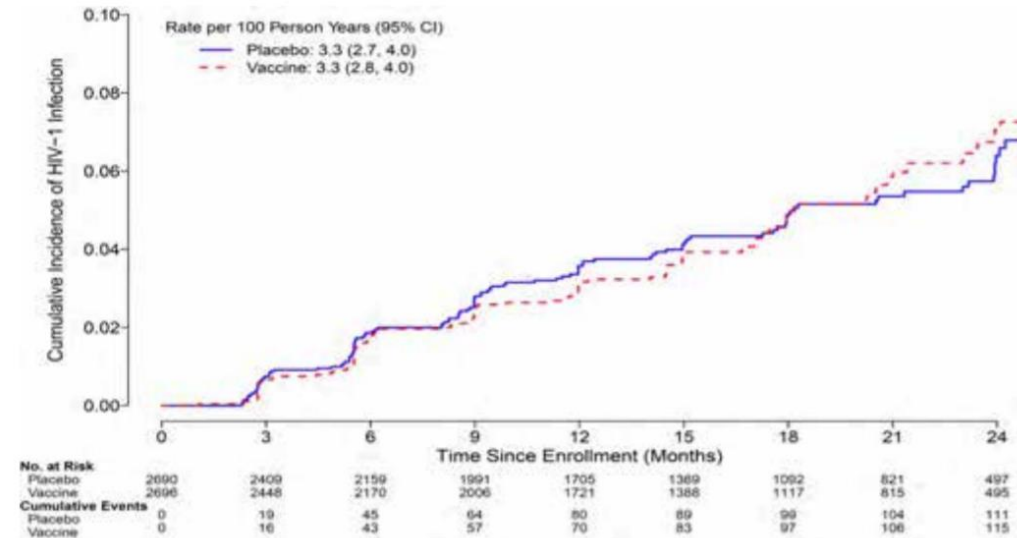
SOUTH AFRICA

Biggest HIV vaccine trial halted after early results show it fails to protect against infection

Times LIVE



- Started Oct 2016
- Fully enrolled: **N=5407**
- No safety concerns
- Interim analysis: No efficacy



| Group | N | Primary vaccine regimen | | | | Booster | |
|-------|------|-------------------------|-----------|--|------------------------------------|------------------------------------|------------------------------------|
| | | M0 | M1 | M3 | M6 | M12 | M18 |
| 1 | 2700 | ALVAC-HIV (vCP2438) | ALVAC-HIV | ALVAC-HIV + bivalent subtype C gp120/ MF59 | ALVAC-HIV + bivalent C gp120/ MF59 | ALVAC-HIV + bivalent C gp120/ MF59 | ALVAC-HIV + bivalent C gp120/ MF59 |
| 2 | 2700 | Placebo | | | | | |

HVTN 108 Study Design

Groups

Priming with DNA

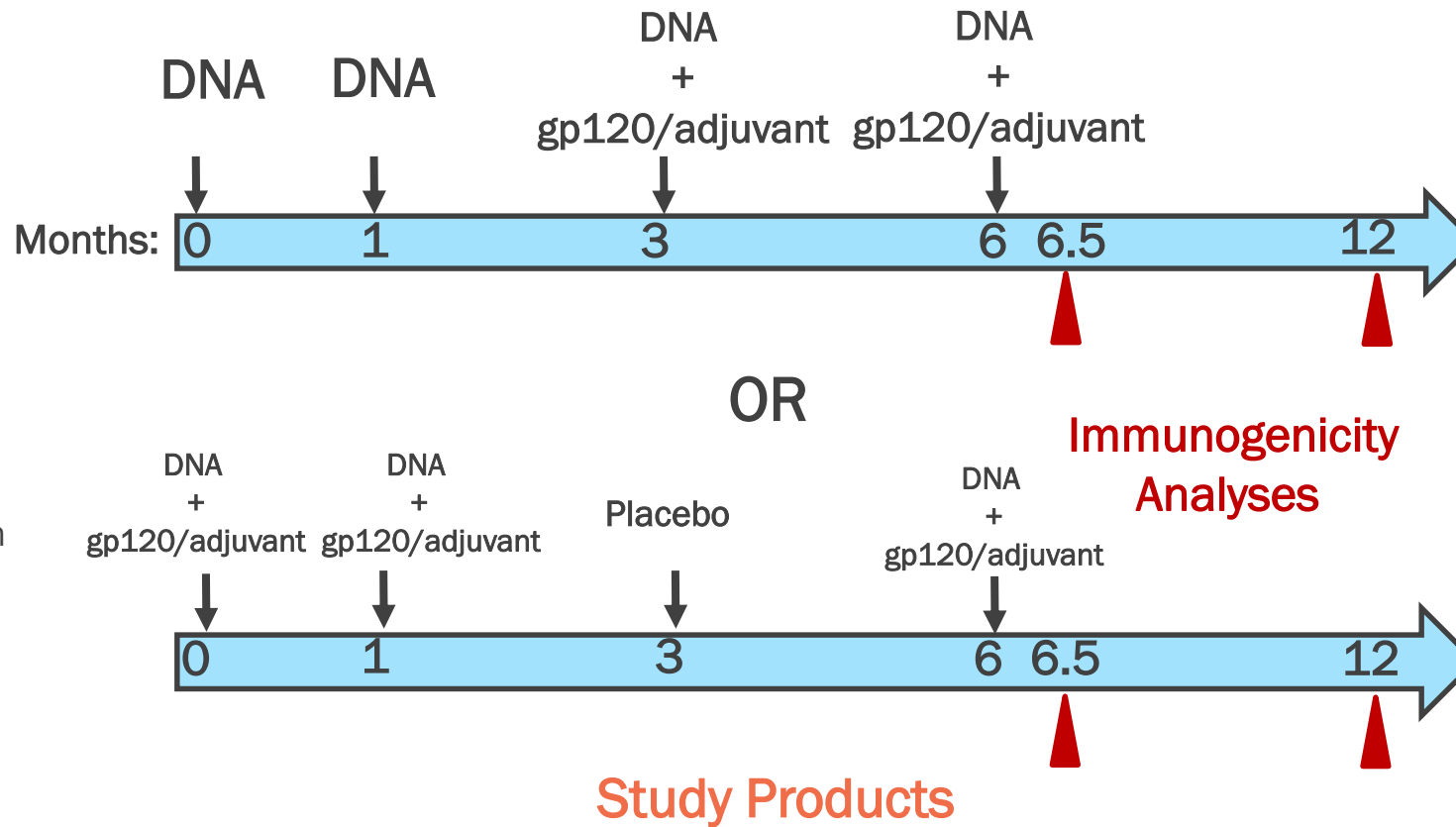
| | |
|----|----------------------------------|
| T1 | MF59- 100µg protein |
| T2 | AS01 _B -100µg protein |
| T3 | AS01 _B - 20µg protein |

Co-admin with DNA

| | |
|----|-----------------------------------|
| T4 | MF59 -100µg protein |
| T5 | AS01 _B - 100µg protein |
| T6 | AS01 _B - 20µg protein |

No DNA

| | |
|----|----------------------------------|
| T7 | AS01 _B - 20µg protein |
| P1 | Placebo |



Comparisons

1. Adjuvants
MF59 vs AS01_B
2. High vs. low dose Env gp120 protein with AS01_B
3. DNA prime-protein boost vs. co-administration vs. protein only (TBD)

DNA-HIV-PT123: ZM96 env gp140, gag and nef

Protein: bivalent subtype C Env gp120 (20 µg or 100 µg each of TV1.C and 1086.C)

Adjuvant: MF59 or AS01_B



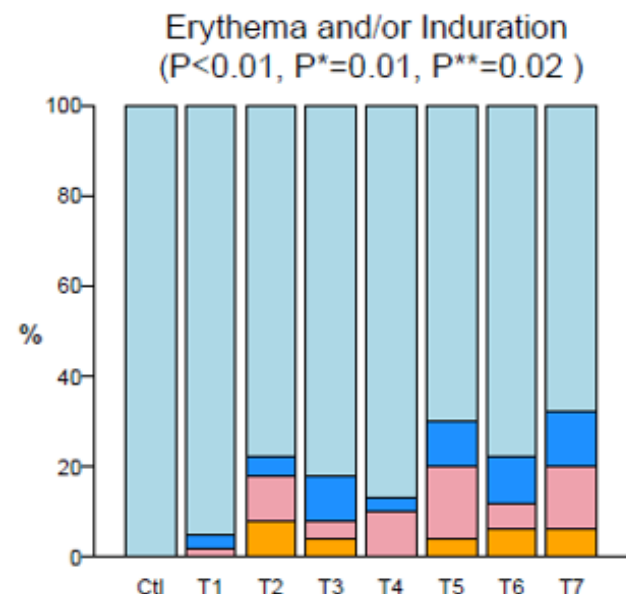
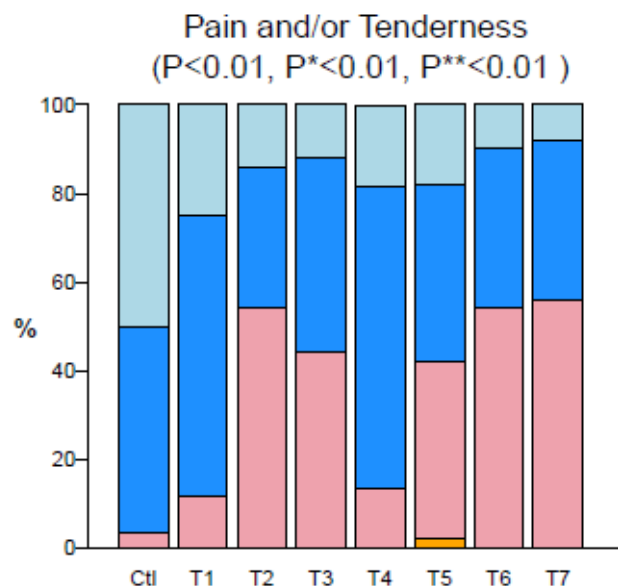
Safety Summary

Maximum local reactogenicity events higher in AS01_B than MF59 regimen

T1: PB@ 100 w/MF59
T2: PB@ 100 w/AS01b

T3: PB@ 20 w/AS01b
T4: CA@ 100 w/MF59
T5: CA@ 100 w/AS01b

T6: CA@ 20 w/AS01b
T7: P@ 20 w/AS01b



P: Across treatment arms; P*: T1 vs T2/3; P**: T4 vs T5/T6/T7

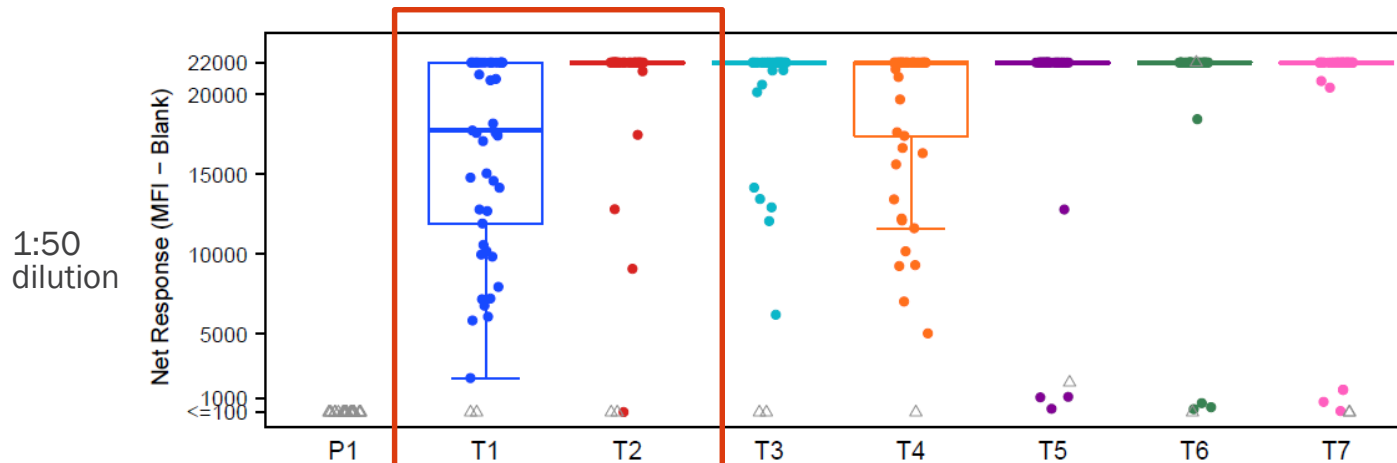
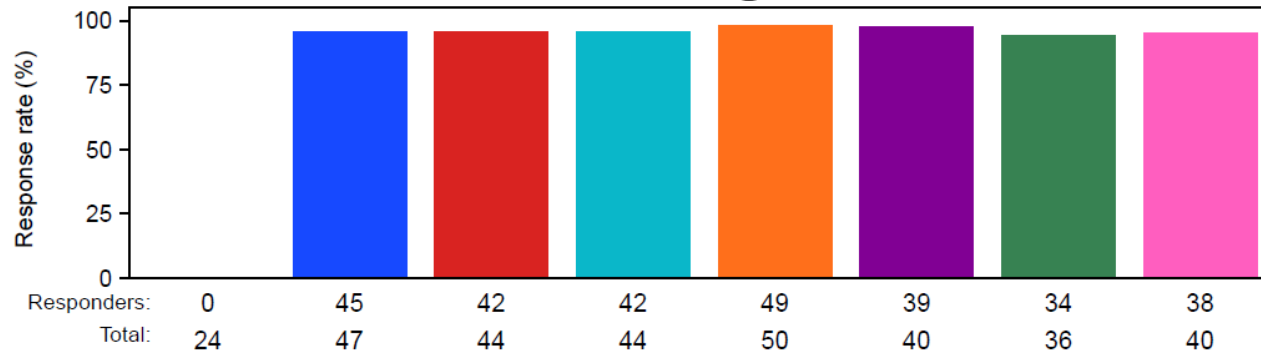
■ Gr 4: Complications
 ■ Gr 3: Severe
 ■ Gr 2: Moderate
 ■ Gr 1: Mild
 ■ None/Not Gradable

- No clinically significant differences in AEs or SAEs between groups.
- 3.6% of participants discontinued vaccinations due to reactogenicity events
- Most severe events at US sites



High HIV-specific IgG response rate and magnitude to clade C Env (prime sequence) across groups at 6.5M

96ZM651.C gp140



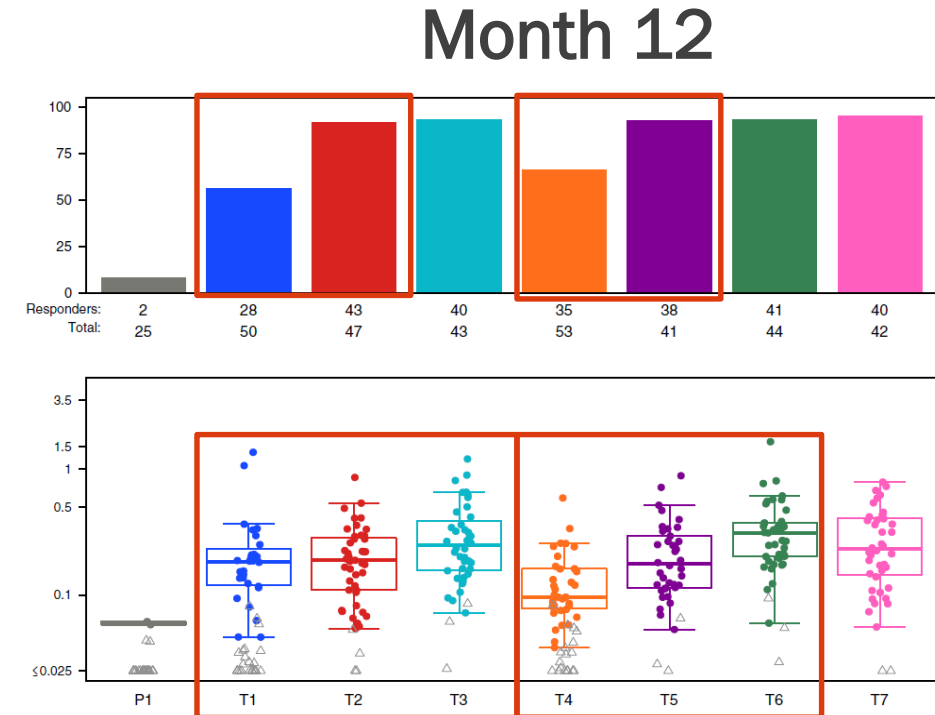
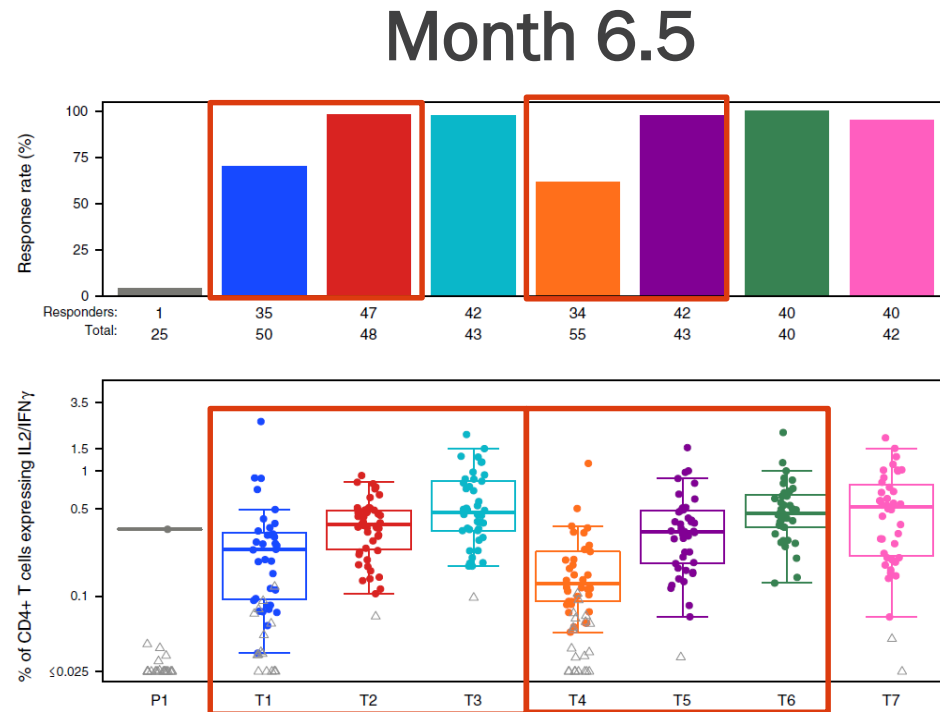
- Higher magnitude responses in AS01_B group (T2) vs. MF59 group (T1)

● P1:Placebo ● T2: PB@ 100 w/AS01b ● T4: CA@ 100 w/MF59 ● T6: CA@ 20 w/AS01b △ Negative
 ● T1: PB@ 100 w/MF59 ● T3: PB@ 20 w/AS01b ● T5: CA@ 100 w/AS01b ● T7: P@ 20 w/AS01b

Statistically significant difference



CD4+ T-cell response rates and magnitude to Any Env* higher in the AS01_B- than MF59-adjuvanted regimens at 6.5M & 12M



Low dose protein elicited higher magnitude responses than high dose.

- P1: Placebo
- T1: PB@ 100 w/MF59
- T2: PB@ 100 w/AS01b
- T3: PB@ 20 w/AS01b
- T4: CA@ 100 w/MF59
- T5: CA@ 100 w/AS01b
- T6: CA@ 20 w/AS01b
- T7: P@ 20 w/AS01b
- △ Negative

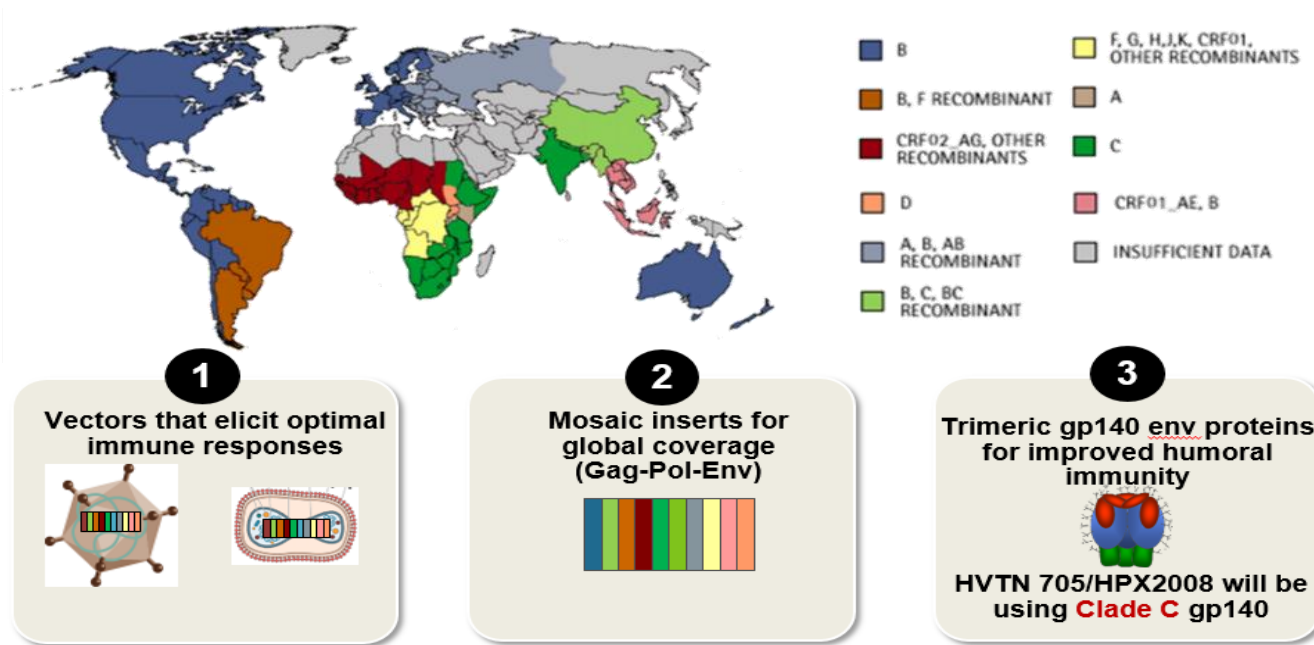
*ANY ENV defined as max of 1086 gp120, TV1 gp120 and Env ZM96.





HVTN 705/HPX 2008 Mosaic Vaccine Trial

Phase 2b trial of Ad26.Mos4.HIV & alum-adjuvanted clade C gp140 to prevent HIV in African women



Protocol status

- First enrollment: Nov 2017
- Fully enrolled: **N=2637**
- DSMB asked to continue
- Phase 3 study (Mosaico) started among MSM and transgender in Americas

| Group | N | Prime | | Boost | |
|-------|------|---------------|---------------|--|--|
| | | M0 | M3 | M6 | M12 |
| 1 | 1300 | Ad26.Mos4.HIV | Ad26.Mos4.HIV | Ad26.Mos4.HIV + clade C gp140 (250 mcg + adjuvant) | Ad26.Mos4.HIV + clade C gp140 (250 mcg + adjuvant) |
| 2 | 1300 | Placebo | | | |



CAPRISA HIV vaccine & broadly neutralizing antibody research

| | 2019 | 2020 | 2021 |
|-----------------|---|------|---|
| Efficacy Trials | HVTN 702 (Uhambo - Phase 3) ALVAC/ bivalent C-gp120/ MF59 N=354; N Naicker (PI) | | |
| | HVTN 705 (Imbokodo - Phase 2b) Ad26/ MVA mosaic N=60; N Garrett (PI) | | |
| Concepts | HVTN108 (Phase 1/2a) N=16; N Garrett (Chair) DNA and gp120 with MF59 or AS01B | | More than 3000 participant visits conducted in 2020! |
| | HVTN107 (Phase 1/2a) N=20; N Naicker (PI) ALVAC/ bivalent gp120 alone, with MF59 or alum | | |
| BnAb Trials | AMP Study (HVTN703, HPTN081) (Phase 2b) VRC01 mAb; N=207; H Dawood, N Garrett (PIs) | | |
| | CAPRISA 012 Trials (012A, B and C) (Phase 1/2); CAP256V2LS +/- VRC07LS or PGT121 SS Abdool Karim (PI); S Mahomed (PD) | | |

Antibody Mediated Prevention Phase 2b trials



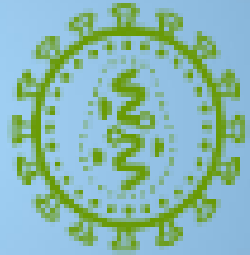
- **Enrollment and follow up complete** (Total N=1,900, CAPRISA N=207)
- **Primary Outcomes**
 - Safety & Tolerability of VRC01 infusion
 - Efficacy to prevent HIV infection

| REGIMEN | HVTN 704/HPTN 085 MSM & TG in the Americas | HVTN 703/HPTN 081 Women in sub-Saharan Africa | TOTAL | |
|----------------|--|---|-------------|---|
| VRC01 10 mg/kg | 900 | 633 | 1533 | 10 infusions total - given every 8 weeks |
| VRC01 30 mg/kg | 900 | 633 | 1533 | |
| Control | 900 | 634 | 1534 | |
| Total | 2700 | 1900 | 4600 | Study duration: ~22 months |

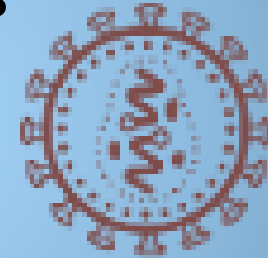
In Vitro Sensitivity to VRC01 Predicts Efficacy

- **Consistent evidence that VRC01 conferred prevention efficacy**

Against viruses
measured to be
neutralization
sensitive

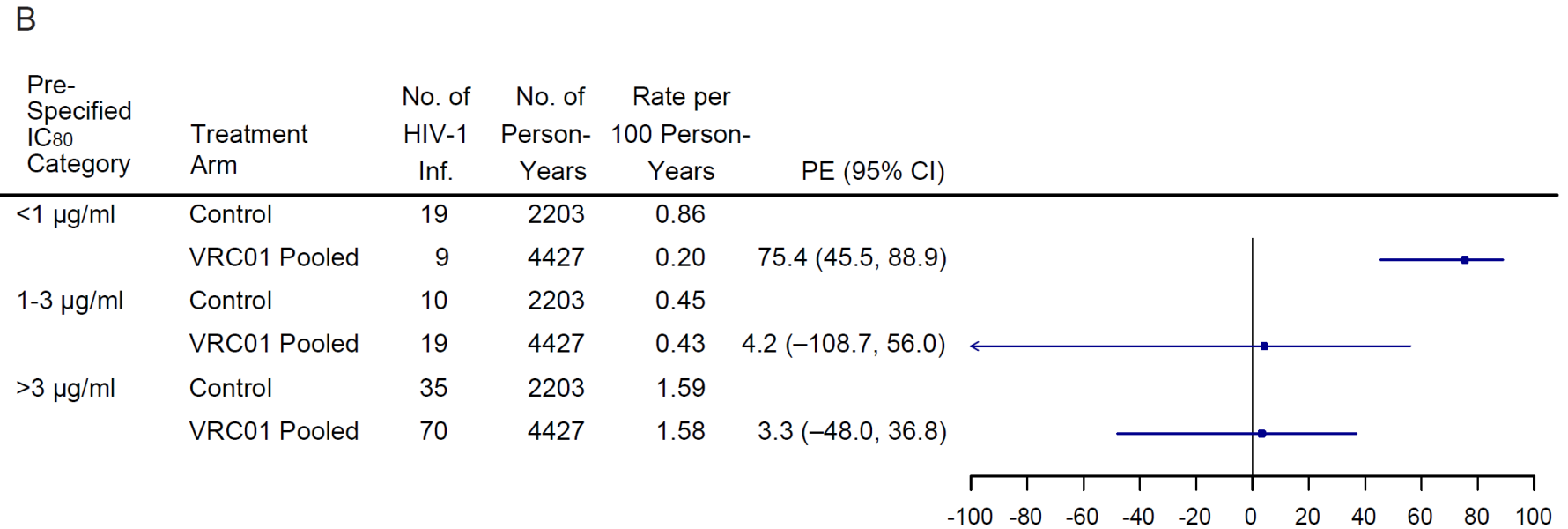
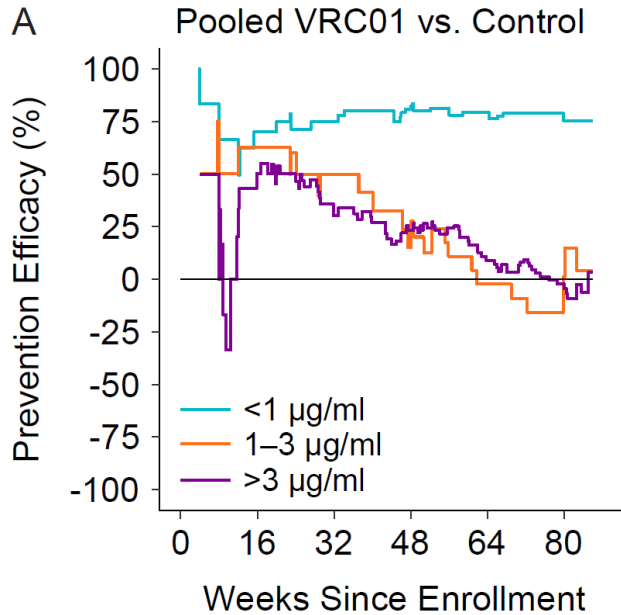


Not against viruses
measured to be
neutralization
resistant

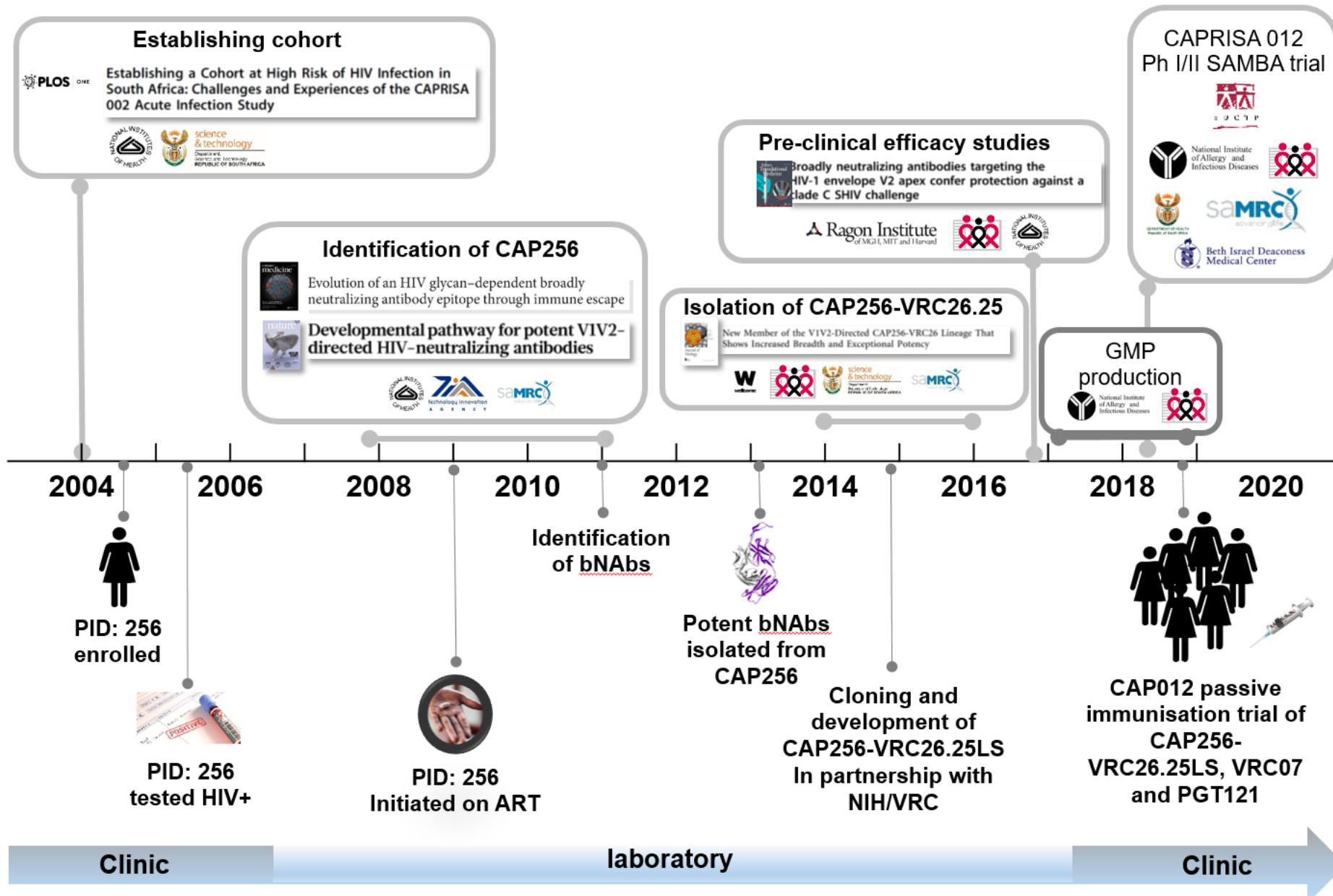


- Monotone pattern with VRC01 protection wearing off with IC50, IC80, reciprocal of instantaneous inhibitory potential (IIP)
- Thus, the TZM-bl target cell assay discriminates prevention efficacy

Estimated Prevention Efficacy Over Time by IC80 Efficacy Declines with IC80 Category (Pooled Trials)



CAP256V2LS: A long journey of discovery



CAPRISA bnAb Programme

SAMBA: a sequence of mAb trials for HIV prevention

CAPRISA 012A: Phase I study to assess safety and PK of **VRC07-523LS** and **PGT121** administered subcutaneously in HIV-negative women



CAPRISA 012B: Phase I study to assess safety and PK of **CAP256V2LS** administered subcutaneously and intravenously in HIV-negative and HIV positive women



CAPRISA 012C: Phase II study to assess extended safety and PK of subcutaneously-administered **CAP256V2LS** in combination with **VRC07-523LS** and /or **CAP256V2LS** in combination **PGT121** in HIV-negative women



CAPRISA 012A trial



Assessing the safety and pharmacokinetics of the monoclonal antibodies, VRC07-523LS and PGT121 in HIV negative women in South Africa: study protocol for the CAPRISA 012A randomised controlled phase I trial

S Mahomed, N Garrett, E Capparelli, C Baxter, NY Zuma, T Gengiah, D Archary, P Moore, N Samsunder, DH Barouch, J Mascola, J Ledgerwood, L Morris, S Abdool Karim



Main objectives:

- Evaluate **safety of VRC07-523LS & PGT121** subcut
- Characterize **PK profile** of Abs
- Assess the **acceptability** of SC injections
- Concentration & **functional activity** of Abs in plasma & genital samples

Study progress:

- Study fully enrolled
- 100% Retention
- DSMB: No safety concerns
- Preliminary PK analysis completed
- Expected study end July 2020

| Group | Regimen | N | Dose (mg/kg) |
|-------|-------------------------------|-----|--|
| 1 | VRC07-523LS / Placebo | 4/1 | 5 mg/kg SC one dose |
| 2 | VRC07-523LS / Placebo | 4/1 | 10 mg/kg SC one dose |
| 3 | VRC07-523LS / Placebo | 4/1 | 5 mg/kg SC with one repeat dose at 12 weeks |
| 4 | VRC07-523LS / Placebo | 4/1 | 10 mg/kg SC with one repeat dose at 24 weeks |
| 5 | PGT121 / Placebo | 4/1 | 3mg/kg SC one dose |
| 6 | PGT121 / Placebo | 4/1 | 3mg/kg SC with one repeat dose at 12 weeks |
| 7 | VRC07-523LS + PGT121/ Placebo | 4/1 | 5 mg/kg SC + 3mg/kg SC one dose |

CAPRISA 012B trial

First-in-human CAP256V2LS antibody trial

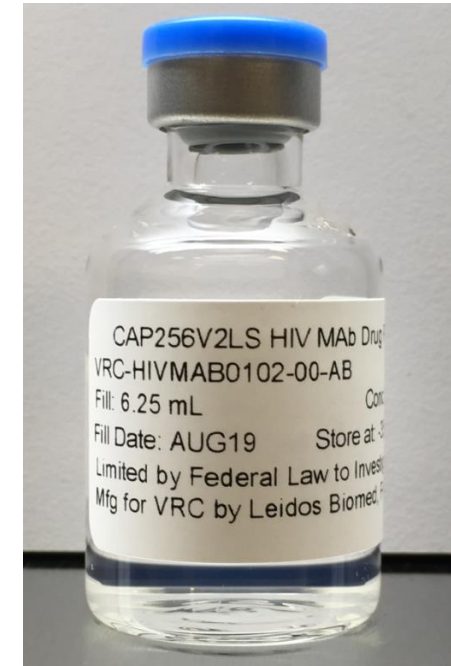
Aim:

- Determine **safety, tolerability and PK of mAb CAP256V2LS** given IV and SC to HIV positive & negative women in SA

Study update:

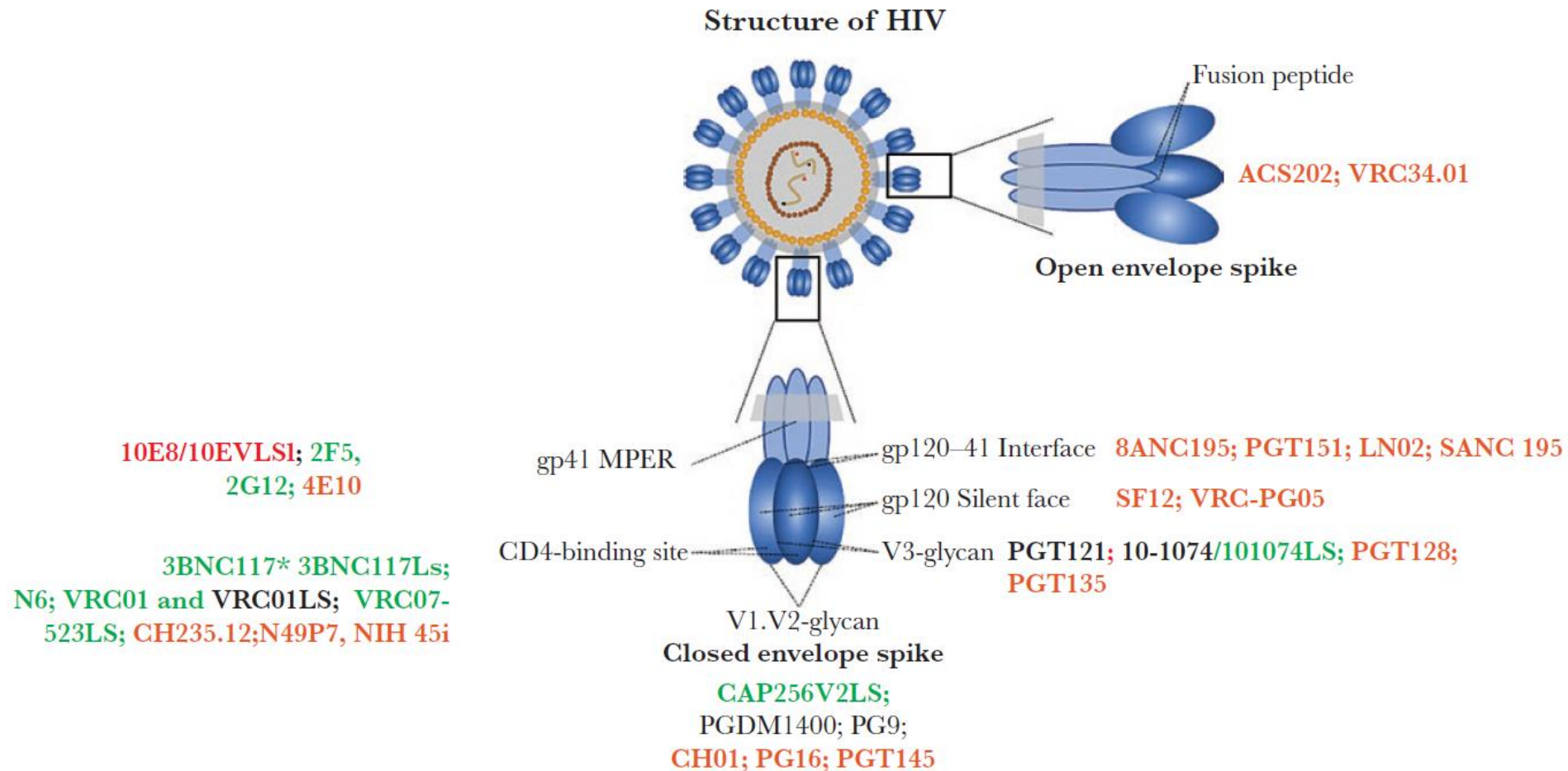
- Groups 1a to 3b fully enrolled
- Acceptable safety profile
- **CAPRISA 012C** with CAP256V2LS and VRC07-523LS expected to start in June 2021

| Group | Participants | Regimen | N=66 | Dose (mg/kg) |
|--|--------------|--|------|--|
| Group 1: Dose escalation of IV administration of CAP256V2LS | | | | |
| 1a | HIV negative | CAP256V2LS | 4 | 5 mg/kg IV one dose |
| 1b | HIV negative | CAP256V2LS | 4 | 10 mg/kg IV one dose |
| 1c | HIV positive | CAP256V2LS | 4/2 | 20 mg/kg IV one dose |
| 1d | HIV positive | CAP256V2LS | 4/4 | 20 mg/kg IV one dose |
| Group 2: Dose escalation of SC administration of CAP256V2LS | | | | |
| 2a | HIV negative | CAP256V2LS | 4 | 5 mg/kg SC one dose |
| 2b | HIV negative | CAP256V2LS* | 4 | 5 mg/kg SC one dose |
| 2c | HIV negative | CAP256V2LS* | 4 | 10 mg/kg SC one dose |
| 2d | HIV negative | CAP256V2LS* | 4 | 10 mg/kg SC with one repeat dose at 16/24 weeks [#] |
| 2e | HIV negative | CAP256V2LS* | 4 | 20 mg/kg SC one dose |
| 2f | HIV negative | CAP256V2LS* | 4 | 20 mg/kg SC with one repeat dose at 16/24 weeks [#] |
| Group 3: Dose escalation of the two antibody combinations | | | | |
| 3a | HIV negative | CAP256V2LS* + VRC07-523.LS* | 4/1 | 10 mg/kg SC / 10 mg/kg SC one dose |
| 3b | HIV negative | CAP256V2LS* + VRC07-523.LS* | 4/1 | 20 mg/kg SC / 20 mg/kg SC one dose |
| 3c | HIV negative | CAP256V2LS* + PGT121 [§] | 4/1 | 20 mg/kg SC / 5 mg/kg SC one dose |
| Group 4: Three antibody combination | | | | |
| 4a | HIV negative | CAP256V2LS* + PGT121 [§] + VRC07-523.LS | 4/1 | 20 mg/kg SC / 5 mg/kg SC / 20mg/kg SC one dose |

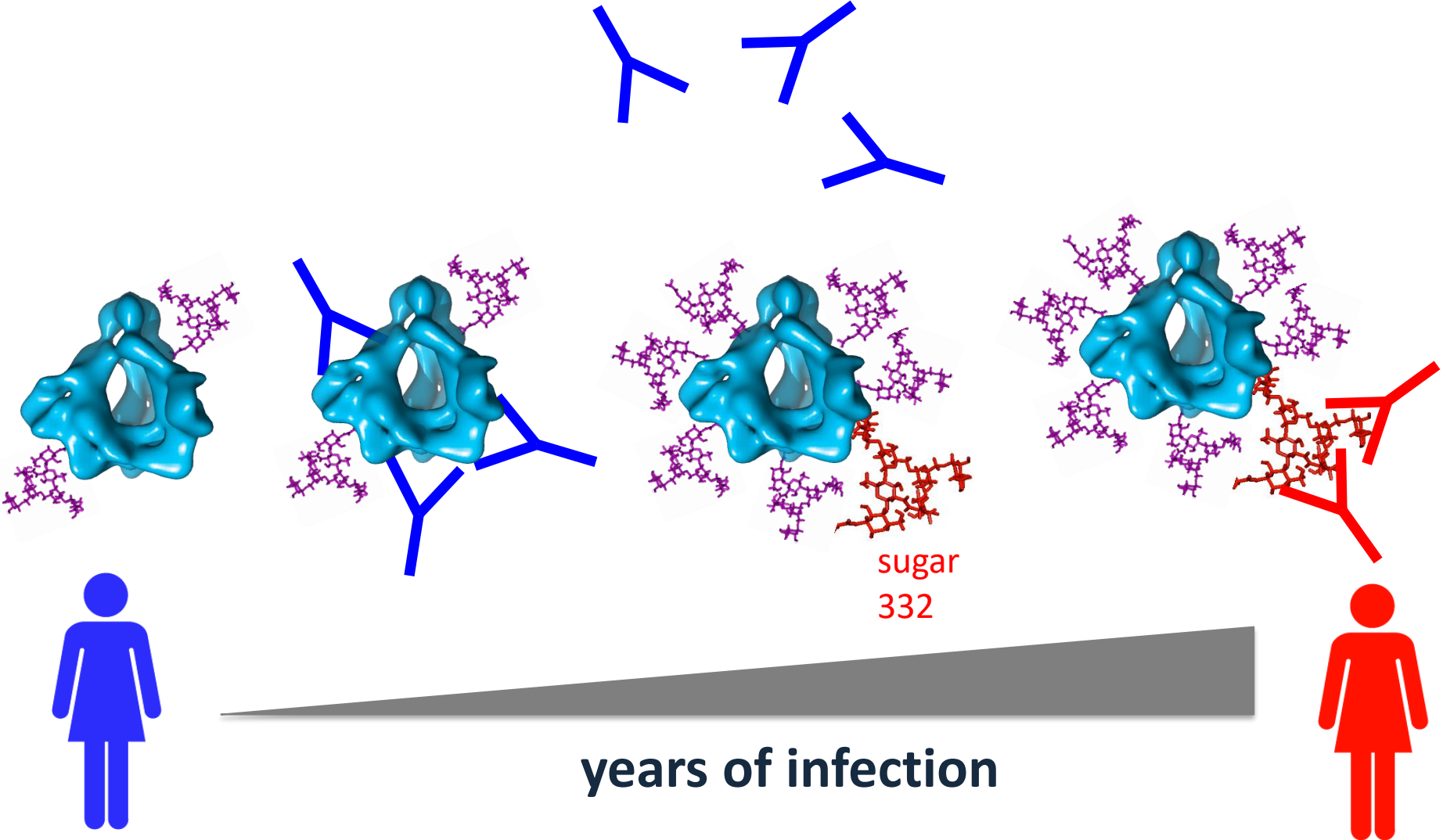


* Higher doses will be administered with ENHANZE™ dispersing agent by Halozyme

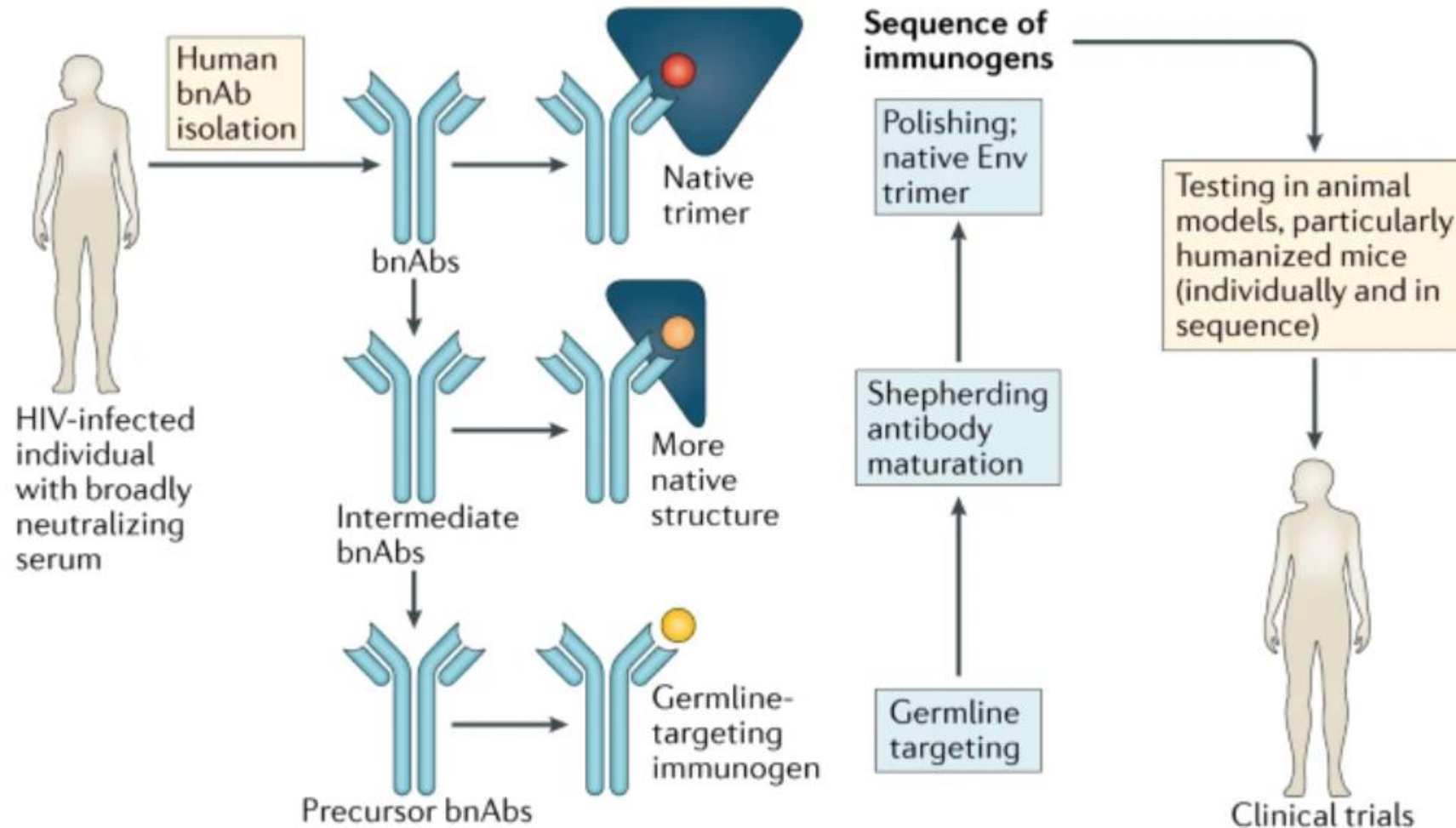
HIV-specific neutralizing antibody targets with bnAb candidates



Intra-host evolution of BnAbs



Sequential HIV vaccination strategies



Dennis R. Burton. Advancing an HIV vaccine; advancing vaccinology.
Nature Reviews Immunology volume 19, pages77–78(2019)

CoVID-19 learned from HIV Science, can HIV field now learn from success of COVID-19 vaccines?

HIV Vaccine Approaches in COVID-19 Vaccine Development

Vaccine approaches originally developed for HIV vaccine design are at the forefront of COVID-19 vaccine development. There are over 100 vaccine candidates in development against COVID-19, many of the vaccines and approaches in human trials have roots in HIV research. Below are some of the approaches moving forward in human trials.



Antibodies

The AMP trials, with results due in October, are now testing infusions of an HIV-neutralizing antibody every two months as a prevention method. Antibody approaches like this, including convalescent plasma, and neutralizing antibody infusions and injections, are being developed for both prevention and treatment of COVID-19.



Chimp adenovirus vector

A vaccine developed at Oxford University from a virus that infects chimpanzees is being developed for therapeutic and preventive clinical trials against HIV and a number of other diseases. That chimpanzee virus platform has been adapted as a COVID-19 vaccine candidate and is now in clinical trials.



DNA

HIV vaccine approaches using a DNA platform are now being explored for COVID-19. Inovio has begun testing its DNA vaccine platform, originally developed for HIV vaccines, for use as a COVID-19 vaccine.



Human adenovirus vectors

Multiple adenovirus subtypes have been developed as HIV vaccine candidates, most notably, Janssen's Ad26 candidate, which is now in two large HIV vaccine efficacy trials. Janssen is now adapting its Ad26 as a COVID-19 vaccine. There are also several other adeno-based COVID-19 vaccines in development, such as the Ad5 adenovirus being tested by the Chinese military.



mRNA

Messenger RNA (mRNA) vaccines, potentially more potent than DNA platforms, have been developed as HIV vaccine candidates. Now, several mRNA vaccine candidates against COVID-19 are in clinical trials sponsored by Moderna, CureVac and Pfizer/BioNTech.

Unique Challenges to HIV Vaccines

- **HIV attacks CD4+ T-cells thereby weakening the conductors of the immune system in clearing the infection**
- **Continuously mutates and recombines resulting in an extensive diversity of viral strains**
- **No good model of natural clearance of infection prevents discovery of correlates of protection**
- **This is the era of new vaccine concepts (see example of mRNA vaccines for the prevention of COVID-19)**

Conclusions

- **CAPRISA likes the MRC and are looking forward to working with you over the coming years.**
- **HIV Vaccine research has had its ups and downs but we are eagerly awaiting the Ad26 mosaic vaccine results**
- **Everyone talks about broadly neutralizing antibodies, but combinations will be required to prevent infection.**
- **We may require sequential vaccinations to shepherd the immune system to make them.**

Acknowledgements

Investigators

- **Nivashnee Naicker and Sharana Mahomed**
- **Salim & Quarraisha Abdool Karim** and the CAPRISA study teams
- **Lynn Morris, Penny Moore** and the Neutralizing Antibody team (**NICD**)
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- **Natasha Samsunder** and the Laboratory and Support teams (**CAPRISA**)

Vaccine & Pathogenesis Team



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